FORM PTO-1449 (MODIFIED)			ATTORNEY DOCKET NO.			SERIAL NO.				
I		F PATENTS AND BLICATIONS		!	SP03-062					
FOR A		ANTS INFORMA	TION	A	APPLICANT		L			
		URE STATEMEN			CARACCI STEPHEN J, et al	1.				
Di	OCLOB	OICE OTTEL DIVIDIT	•		ILING DATE	·	GF	ROUP:		
				1	IBINO BATE		TE			
REFERE	NCE D	ESIGNATION		U.	S. PATENT DOCUMENTS	}				
Examiner Initial		Document Number	Date		Name	Clas	ss	Sub- Class		g Date oprop.
KG	AA	2002/0109100	08-15-0	12	J.H. Jackson III et al.	250		458.1		
76	AB	4,815,843	03-28-8		Kurt Tiefenthaler et al.	356		128	1	
VC	AC	5,738,825	04-14-9		Helmut Rudigier et al.	422		82.11		
_N.9.	AD	3,750,025	0.1.5	<u> </u>		1				
	AE					 				
	AF					 		 		
	AG					1		-	 	
	AH			—					 	
·	AI			_		 			- 	
	AJ	 			 	-			·	
	AK	 		_		 		 	-	
	AIX	<u> </u>						<u> </u>	ــــــــــــــــــــــــــــــــــــــ	
		F	OREIGN	I P	ATENT DOCUMENTS					
		Document Number	Date		Country	Class		Sub- Class	Transi Yes_	lation No
	AL									
	AM			Γ						
	AN			Г						
	AO									
	AP			T						
	AQ				· ·					
		OTHER ART (Including	(A 1	uthor, Title, Date, Pertinent	Pages,	etc.))		
	AR									
	AS									
	AT					-				
	AU									
	AV					No. of the last of				
	AW	1,/	•							
EXAMINI		Kara Gois			DATE	CONSI	DE	RED: 7	05	
		if reference considere	d, whether		not citation is in conformance vecopy of this form with next comm				line th	rough

		HOV 2 9,2004 25					SPO	3-062
FOI	RM PTO	MODE E	D)	ATTORNEY DOCKET NO).	SERIAL	NO.	
	LIST O	F PATENTS AND BLICATIONS		SP03-062		10	/602,304	
FOR A		ANTS INFORMA	TION	APPLICANT				
		URE STATEMEN		Stephen J. Caracci, et al.				
			•	FILING DATE		GROUP	·	
				June 27, 2003		2877	/	
REFERE	NCE D	ESIGNATION		U.S. PATENT DOCUMEN	ΓS			
Examiner Initial		Document Number	Date	Name	Clas	s Sub	- if A	ng Date pprop.
K.G	AA	2001/0021026	09-13-0	1 Kuo-Ching Liu	356	601		
	AB							-
	AC							
	AD							
	AE							
	AF							
	AG							
-	AH							
	ΑI							
	AJ							
	AK							
		F	OREIGN	PATENT DOCUMENTS				
		Document Number	Date	Country	Class	Sub- Class	Trans Yes	slation No
K.G.	AL	EP 1 271 219	01-02-03	3 Europe			X	
K.G.	AM	WO 90/09560	08-23-90	0 PCT			X	
	AN							
	AO							
	AP							<u> </u>
	AQ							
		OTHER ART (Including	Author, Title, Date, Pertiner	nt Pages,	etc.)		
	AR							
	AS							
	AT							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED:

AU AV AW

EXAMINER:

17 2014 3 0-1449 MQDIFIRE

FORM PTO-1449 MODIFIL

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

SERIAL NO.

WJT003-0037 (SP03-062)

APPLICANT

Stephen J. Caracci, et al.

FILING DATE

GROUP:

Filing Date

2877

REFERE	NCE D	ESIGNATION	U.S	S. PATENT DUCUMENTS	_
Examiner		Document Number	Date	Name	ı

Examiner Initial		Document Number	Date	Name	Class	Sub- Class	if Approp.
VC.	AA	5,071,248	12-10-91	Kurt Tiefenthaler et al.	356	128	
K.G.	AB	5,313,264	05-17-94	Bengt Ivarsson et al.	356	73	
	AC	5,479,260	12-26-95	Christof Fattinger	356	361	
76	AD	6,100,991	08-08-00	William A. Challener	356	445	
- NG	AE	2001/0026943	10-04-01	Stefan Dickopf et al.	436	164	
76	AF	2002/0001085	01-03-02	Stefan Dickopf et al.	356	445	
K.G.	AG	6,346,376	02-12-02	Hans Sigrist et al.	435	5	
VC	AH	6,429,022	08-06-02	Rino Kunz et al.	436	164	
VG.	AI	2003/0007896	01-09-03	Kurt Tiefenthaler	422	91	
R.G.	AJ	2003/0133640	07-17-03	Kurt Tiefenthaler	385	12	
	AK						
	AL						
	AM						· ·
	AN						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- Class	Trans Yes	lation No
K.G	AO	EP 0 202 021	11-20-86	Europe			X	
	AP	,					 	
	AQ						ļ	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

		0 1112 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
VC.	AR	A. Bradenburg et al., "Grating couplers as chemical sensors: a new optical configuration", Sensors and Actuators B, Vol. 17, 1993, pgs. 35-40
<u></u>		R. Horváth et al., "Demonstration of reverse symmetry waveguide sensing in aqueous solutions", Applied Physics Letters, Vol. 81,
K.G.	AS	No. 12 Contember 16, 2002, pgs 2166-2168
KG.	AT	W.A. Challener et al., "A multilayer grating-based evanescent wave sensing technique", Sensors and Actuators B, Vol. 71, 2000,
<u> </u>	AU	F.F. Bier et al., "Real-time measurement of nucleic-acid hybridization using evanescent-wave sensors: steps toward the genosensor", Sensors and Actuators B., Vols. 38-39, 1997, pgs. 78-82
	AV	
	A 337	1

EXAMINER:

DATE CONSIDERED:

7/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MAR 2 1 2015

FORM PTO-1949 (MODIFIED)

LIST OF PATENTS AND PUBLICATIONS

FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT

ATTORNEY	DOCKET	NO.
	~ ~ ~ ~ ~	

WJT003-0037 (SP03-062)

SERIAL NO.

10/602304

APPLICANT

Stephen J. Caracci, et al.

FILING DATE June 24, 2003 GROUP:

2877

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

			-		C)		Filing Date
Examiner		Document	Date	Name	Class	Sub-	if Approp.
Initial		Number				Class	
K.G.	AA	2002/0127565	09-12-02	B. Cunningham et al.	435	6	
K.G.	AB	2002/0168295	11-14-02	B. Cunningham et al.	422	82.05	
K.G.	AC.	2003/0017580	01-23-03	B. Cunningham et al.	435	287.2	
K.G.	AD	2003/0017581	01-23-03	Peter Li et al.	435	287.2	
K.G.	AE	2003/0026891	02-06-03	Jean Qiu et al.	427	58	
K.G.	AF	2003/0027327	02-06-03	B. Cunningham et al.	435	287.2	
K.G.	AG	2003/0027328	02-06-03	B. Cunningham et al.	435	287.2	
R.G.	AH	2003/0032039	02-13-03	B. Cunningham et al.	435	6	
<i>K.</i> G.	AI	2003/0059855	03-27-03	B. Cunningham et al.	435	7.9	
K.G.	AJ	2003/0068657	04-10-03	Bo Lin et al.	435	7.9	
K.G.	AK	2003/0077660	04-24-03	Homer Pien et al.	435	7.1	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- Class	Trans Yes	lation No
	AL							
	AM							
	AN							
	AO							
	AP							
-	AQ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

O 1	THERETHEE (MICHAELING FRANCE; TAME, 2 ares, 2	
AR		
AS		
AT		
AU		
AV		
AW		

EXAMINER:

ara Gersel

DATE CONSIDERED:

7/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND PUBLICATIONS (%) FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT

WJT003-0037 (SP03-062)

10/602,304

Stephen J. Caracci, et al.

FILING DATE June 27, 2003

GROUP: 2877

REFERE	VCE D	ESIGNATION	<u> </u>	S. PATENT DOCUMENT	. 5	,	
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
K.C.	AA	2003/0092075	05-15-03	Jane W. Pepper	435	7.9	
K.G.	AB	2003/0113766	06-19-03	Jane W. Pepper et al.	435	6	
K.G.	AC	2004/0132172	07-08-04	B. Cunningham et al.	435	287.2	
K.G.	AD	2004/0132214	07-08-04	Bo Lin et al.	436	518	
K.G.	AE	2004/0151626	08-05-04	B. Cunningham et al.	422	58	
K.G.	AF	2004/0223881	11-11-04	B. Cunningham et al.	422	82.05	
	AG						
	AH						
	ΑI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub- Class	Trans Yes	lation No_
AL							
AM							<u> </u>
AN							
AO							
AP				,			
AQ							<u></u>

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Al	
A	
A	
A	
A	
AV	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS				ATTORNEY DOCKET NO. WJT003-0037 (SP03-062)			SERIAL NO. 10/602,304		
		URE STATEMEN		Ste	phen J. Caracci, et al.				
¥				FIL	ING DATE	Ì	GROUP:		
5 2005 ₅				Jun	e 24, 2003		2877		
.₽#₽₽₽₽	JCF D	ESIGNATION		US	PATENT DOCUMEN	TS			
ARE LICET	TOD D	LSIGIVITION	T	<u> </u>				Filing	Dat
Examiner		Document	Date	е	Name	Class	Sub-	if Approp	
Initial		Number					Class	II Ap	JOL
1.6.	AA	6,785,433	08-31-0)4	Kurt Tiefenthaler	385	12		
	AB							<u></u>	
	AC								
•	AD								
	AE								
	AF								
	AG							<u> </u>	
	AH								
•	AI								
	AJ								
	AJ AK								
		FO	REIGN P	ATE	NT DOCUMENTS				
				ATE	NT DOCUMENTS				
		Document	REIGN P	ATE	NT DOCUMENTS Country	Class		Transl	
	AK			ATE		Class	Sub- Class	Transl Yes	
	AK	Document		ATE		Class		i .	
	AL AM	Document		ATE		Class		i .	
	AL AM AN	Document		ATE		Class		i .	
	AL AM AN AO	Document		ATE		Class		i .	
	AL AM AN AO AP	Document		ATE		Class		i .	
	AL AM AN AO	Document		ATE		Class		i .	
	AL AM AN AO AP AQ	Document Number	Date				Class	i .	
	AL AM AN AO AP AQ	Document Number	Date		Country		Class	i .	
	AL AM AN AO AP AQ	Document Number	Date		Country		Class	i .	
	AL AM AN AO AP AQ AR AS AT	Document Number	Date		Country		Class	i .	
	AL AM AN AO AP AQ AR AS AT AU	Document Number	Date		Country		Class	i .	
	AL AM AN AO AP AQ AR AS AT	Document Number	Date		Country		Class	i .	atio

, J. . 🐧

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.